

**ITEM #10**  
**DELEGATION OF INSURER FUNCTIONS CHECKLIST**

**Instructions for Completing Checklist:**

If your answer to any of the items set forth in this checklist is in the affirmative, please provide the following: (1) Identify the entity performing the function by name and affiliation. (2) Attach a copy of the written agreement which delegates the insurer function. (3) Label the agreement by exhibit number. (4) Identify in the margin of the agreement where the specific topics set forth in Sections I and II of the Guidelines have been addressed.

Copies of all agreements should be certified by the applicant's Secretary or Assistant Secretary to be a true and correct copy of the agreement currently in force.

**INSURER FUNCTIONS**

		<b>IS THE FUNCTION DELEGATED TO <u>ANOTHER ENTITY?</u></b>	
		<u>Yes</u>	<u>No</u>
A.	<b><u>Claims</u></b>		
	(1) Payment	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
	(2) Adjusting	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
B.	<b><u>Underwriting</u></b>	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
C.	<b><u>Investment</u></b>		
	(1) Advice	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
	(2) Servicing	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
D.	<b><u>Tax Allocation</u></b>	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		

CALIFORNIA CERTIFICATE OF AUTHORITY APPLICATION

		<u>Yes</u>	<u>No</u>
E.	<b><u>Producers Commissions</u></b>		
	(1) Computation	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
	(2) Payment	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
F.	<b><u>Appointment and Cancellation of Agents</u></b>	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
G.	<b><u>Issuance of Policies and Endorsements</u></b>	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
H.	<b><u>Cancellation of Policies</u></b>	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
I.	<b><u>Collection and Handling of Premiums and Other Funds</u></b>	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
J.	<b><u>Reinsurance</u></b>	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
K.	<b><u>Facility Sharing</u></b>	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
L.	<b><u>Preparation of Financial Reports</u></b>	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
M.	<b><u>Advertising, Sales Promotion and Agency Development</u></b>	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		
N.	<b><u>Reserving for Claims and Expenses</u></b>	___	___
	a. Name of entity and affiliation to the applicant.		
	b. A copy of the written agreement is attached to the checklist as Exhibit No. ____.		